



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lynn B. Lunsford et al. Art Unit : 1632
Serial No. : 09/909,460 Examiner : D. Nguyen
Filed : July 18, 2001
Title : MICROPARTICLES FOR DELIVERY OF NUCLEIC ACID

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 6, 2003

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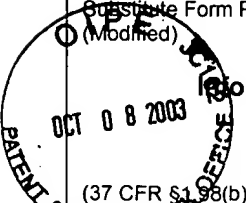
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Gina Maldonado

GINA MALDONADO

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 Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR § 1.96(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 08191-014002	Application No. 09/909,460
	Applicant Lynn B. Lunsford et al.		
	Filing Date July 18, 2001	Group Art Unit 1632	

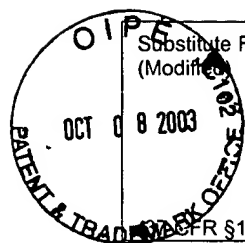
U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,652,441	03/24/1987	Okada et al.			
	AB	4,711,782	12/08/1987	Okada et al.			
	AC	4,853,226	08/01/1989	Machida et al.			
	AD	4,917,893	04/17/1990	Okada et al.			
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	AS	6,309,569	10/20/2001	Farrar et al.			
	AT	6,406,719	06/18/2002	Farrar et al.			
	AU	6,565,777	05/20/2003u	Farrar et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AV	EP 0 263 490	04/13/1988	Europe				
	AW	EP 0 779 072	06/18/1997	Europe				
	AX	GB 2234896	02/20/1991	Great Britain				

Examiner Signature	Date Considered
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 Substitute Form PTO-1449
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 U.S. Department of Commerce
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 Attorney's Docket No.
 08191-014002

 Application No.
 09/909,460

**Information Disclosure Statement
 by Applicant**

(Use several sheets if necessary)

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Foreign Patent Documents or Published Foreign Patent Applications

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							Yes	No
	AY	GB 2265311A	09/29/1993	Great Britain				
	AZ	GB 2310801A	09/10/1997	Great Britain				
	AAA	WO 95/11009	04/27/1995	WIPO				
	ABB	WO 97/35563	10/02/1997	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	ACC	Allen et al. "Biological Properties of Equine Herpesvirus Type 1 DNA: Transfectivity and Transferring Capacity" <i>Infection and Immunity</i> 22: 34-40 (1978)
	ADD	Elsner et al., "Ultrasonic Degradation of DNA" <i>DNA</i> 8: 697-701 (1989)
	AEE	European Patent Office, English language abstract for Japanese Patent No. 06-009377
	AFF	Marquet et al. "Toxicity of tungsten", <i>The Lancet</i> 349:58-59 (1997)
	AGG	Tinsley-Brown et al. <i>J. Con. Rel.</i> 66: 229-241 (2000)
	AHH	Walter et al. "Microencapsulation of DNA using...characteristics", <i>ELSEVIER</i> 61:361-374 (1999)
	AII	Yan et al., <i>Journal of controlled Release</i> 32:231-241 (1994)
	AJJ	Yung-Yueh Hsu et al. "Comparison of Process Parameters for Microencapsulation of Plasmid DNA...Microspheres", <i>J. of Drug Targeting</i> 7(4):313-323 (1999)
	AKK	Dialog File 351, Accession No. 81-32924D/198119, Derwent WPI English language Abstract for Document AL1 (EP 0 027 662 A1)
	ALL	Dialog File 351, Accession No. 86-108252/198617, Derwent WPI English language Abstract for Document AM1 (EP 0 179 023 A2)
	AMM	Dialog File 351, Accession No. 92-166845/199220, Derwent WPI English language Abstract for Document AL3 (WO 92/06666)
	ANN	Dialog File 351, Accession No. 94-167187/199420, Derwent WPI English language Abstract for Document AN3 WO 94/09898)
	AOO	Dialog File 351, Accession No. 95-123218/199516, Derwent WPI English language Abstract for Document AM4 (WO 95/07072)
	APP	Dialog File 351, Accession No. 95-240449/199531, Derwent WPI English language Abstract for Document AN4 (WO 95/17167)

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